



## **PRIVACY NOTICE**

# Contents

- 1. Who We Are
- 2. The Information We Process
- 3. How We Obtain Information
- 4. Your Rights
- 5. Sharing with Third Parties
- 6. Transferring Information Overseas
- 7. Promotional Communications
- 8. How Long We Keep Your Information
- 9. Security

## Schedule A – Schedule of Purposes of Processing

- A. Contractual necessity
- B. Legal obligation
- C. Legitimate interests

#### 1. Who We Are

- 1.1 This privacy notice (the "Privacy Notice") applies to all personal information processing activities carried out by CAVE Products Ltd.
- 1.2 CAVE Products Ltd is a data controller in respect of personal information that we process in connection with our business and in order to provide you with our services. In this notice, references to "we", "us" or "our" are references to CAVE Products Ltd.
- 1.3 Our registered address is: 6 Emma Terrace, The Drive, London SW20 8QL and our contact details can be located at www.caveproducts.com
- 1.4 We respect individuals' rights to privacy and to the protection of personal information. The purpose of this Privacy Notice is to explain how we collect, store, use and share your personal information in connection with our business.
- "Personal information" means information about a living individual who can be identified from that information (either by itself or when it is combined with other information). We may update our Privacy Notice from time to time and will inform you usually by writing or by publishing updated content on our website.

#### 2. The Information We Process

- 2.1 We collect and process various categories of personal information at the start of, and for the duration of, your relationship with us. We will limit the collection and processing of information necessary to achieve one or more legitimate purposes as identified in this notice. Personal information may include:
  - a) basic personal information relating to our business dealings, including name and address and contact details;
  - b) organisation or business name and address;
  - c) financial information including invoice and billing details;
  - d) information about the Services we provide to you (including for example, what we have provided to you, when and where and, if applicable, how much you paid);
  - e) information you provide to us with when you contact us by phone, email, post, or when you communicate with us via social media
  - f) information about electronic communications you receive from us, including whether that communication has been opened and if you have clicked on any links within that communication;
  - g) information relating to the matter in which you are seeking our service or representation;
  - h) online profile and social media information and activity, based on your interaction with us and our websites and applications, including for example your Internet Protocol (IP) address.
  - 2.2 Where permitted by law, we may process information about criminal convictions or offences and alleged offences for specific and limited activities and purposes, such as to perform checks to prevent and detect crime and to comply with laws relating to money laundering, fraud, bribery and corruption, and international sanctions. It may involve investigating and gathering intelligence on suspected financial crimes, fraud and threats and sharing data with law enforcement and regulatory bodies.

#### 3. How We Obtain Information

- 3.1 In the provision of our services, it is likely that information will be provided by you. Your information is made up of all the personal information we collect and hold about you/your business and the proprietors, officers and beneficial owners of that business and your transactions. Personal information held about you may include therefore:
  - a) information you give to us;
  - b) information that we receive from third parties including third parties who provide services to you or us;
  - c) information that we learn about you through our relationship with you;
  - d) information that we gather from the technology which you use to access our services (for example an IP address or telephone number); and
  - e) information that we gather from publicly available sources, such as the press, Companies House, HM Land Registry, trade bodies and online search engines.

### 4. Your Rights

- 4.1 Under the General Data Protection Regulation, individuals (or 'data subjects') have a number of important rights regarding their personal information. In summary, these rights are as follows and include the right to:
  - a) Request access to personal information;
  - b) Request that inaccurate information is corrected;
  - c) Request that processing of personal information is restricted;
  - d) Request the personal information you provided to us in a portable format;
  - e) Request that personal information that we hold is erased in certain circumstances;
  - f) Object to the processing of personal information or the continued processing of personal information;
  - g) Request not to be subject to automated decision making which produces legal effects that concern or affect data subjects in a significantly similar way.
- 4.2 Further information regarding rights under the General Data Protection Regulation can be found by visiting <a href="www.ico.org.uk">www.ico.org.uk</a>. These rights are subject to the conditions and restrictions set out in the General Data Protection Regulation and the Data Protection Act 2018.
- 4.3 Should you wish to make a request to exercise any of the above rights you should contact us via our website at <a href="www.caveproducts.com">www.caveproducts.com</a>. When contacting us, please ensure that you provide relevant information to allow us to identify you and state the right or rights that wish to exercise. We may need to contact you to request further information to verify your identity. We will respond to you within one month from when we receive a valid request.

### 5. Sharing with Third Parties

- 5.1 We will not share your information with anyone outside CAVE Products Ltd except and as necessary:
  - a) where we have your permission;
  - b) where required to provide our services for example with 3<sup>rd</sup> parties such as couriers, haughliers who we instruct on your behalf or refer you.
  - c) where necessary to carry out your instructions
  - d) where we provide our services with 3<sup>rd</sup> parties acting as agents or sub-contractors acting on our behalf;
  - e) where we need to enforce our contractual rights;
  - f) where we are under a legal or regulatory duty to do so;
  - g) where we are required to lawfully assist the police or security services with prevention and detection of crime;
  - h) where disclosure is necessary to protect the safety or security of any person(s);
  - i) where required for a proposed sale, reorganisation, transfer, financial arrangement, asset disposal or other transaction relating to our business and/or assets held by our business; or
  - j) where otherwise permitted by law, it is necessary for our legitimate interests or those of a third party and it is not inconsistent with the purposes listed above.
- 5.2 We will not share your information with third parties for their own marketing purposes without your permission.

### 6. Transferring Information Overseas

CAVE Products Ltd does not transfer data outside of the European Economic Area (EEA) in general. There may however be a requirement from time to time to transfer some or all of your personal data outside of the EEA if so required in order to progress to provide our services e.g. if you are based outside the EEA or where there is an international dimension to the business on which we are providing a service to you. Where this happens, all necessary steps will be taken to ensure that data transferred outside of the EEA is afforded the same or similar safeguards and processes that we undertake within the EEA.

### 7. Promotional Communications

CAVE Products Ltd may engage in promotional communication with you via email, social media and other digital platforms. We may therefore collect your name, address, email address, name of your organisation (if applicable) and telephone number. Any personal data you provide to use will only be used to provide information about our services, or new services or legal developments. We will not use your data for purposes which are not clear when you provide your details. If you change your mind about how you would like us to contact you or you no longer wish to receive this information, you can tell us at any time by contacting us at www.caveproducts.com

### 8. How Long We Keep Your Information

- 8.1 Cave Products will keep your personal data after we have finished providing services for you. We will do so for one of these reasons:
  - a) To respond to any questions, complaints or claims made by you or on your behalf;
  - b) To show that we treated you fairly;
  - c) To keep records required by law.
- 8.2 CAVE Products will retain personal information for no longer than is reasonably necessary for the provision of its services and personal information will not be retained indefinitely or for reasons incompatible with relevant data protection legislation including the General Data Protection Regulation and the requirements of other regulatory bodies.
- 8.3 Different retention periods apply for different types of data. Our standard retention period for personal information provided to us for the purpose of providing our services is between 7 and 12 years (from the completion of the work and services) at which point the data will be securely deleted or destroyed unless the work remains outstanding in some material respect after that period has expired.

## 9. Security

- 9.1 CAVE Products Ltd takes the security of personal information seriously and it has appropriate measures, safeguards and protocols in place to ensure that data is kept secure, is only accessed by those individuals authorised to do so and where there is a legitimate need to access the data.
- 9.2 Appropriate and reasonable steps are in place to reduce the risk of unauthorised access to personal data held by CAVE Products Ltd and in line with its obligations under data protection legislation including the General Data Protection Regulation.

### Schedule A - Purposes of Processing by CAVE Products Ltd

We will only use and share your information where it is necessary for us to carry out our lawful business activities. We want to ensure that you fully understand how your information may be used. We have described the purposes for which your information may be used in detail in a table below:

#### A CONTRACTUAL NECESSITY

We may process your information where it is necessary to enter into a contract with you for the provision of our services or to perform our obligations under that contract.

Please note that if you do not agree to provide us with the requested information, it may not be possible for us to continue to provide services to you.

- a) provide and administer those services throughout your relationship with CAVE Products Ltd, including collecting and issuing all necessary documentation; executing your instructions; resolving any queries or discrepancies and administering any changes.
- b) manage and maintain our relationships with you; and
- c) communicate with you about services you receive from us.

#### B LEGAL OBLIGATION

When you engage our services (and throughout your relationship with us), we are required by law to collect and process certain personal information about you. Please note that if you do not agree to provide us with the requested information, it may not be possible for us to continue to operate your account and/or provide products and services to you. This may include processing to:

- a) confirm your identity and perform checks to comply with laws relating to money laundering;
- b) share data with police, law enforcement, tax authorities or other agencies where we have a legal obligation and complying with production and court orders;
- c) investigate and resolve complaints;
- d) conduct investigations into alleged breaches of conduct by our employees;
- e) perform assessments for the purposes of managing, improving communications;
- f) provide assurance that CAVE Products has effective processes to identify, manage, monitor and report the risks it is or might be exposed to;
- g) investigate and report on incidents or emergencies on CAVE Product's premises;
- h) coordinate responses to business-disrupting incidents and to ensure facilities, systems and people are available to continue providing services.

### C LEGITIMATE INTERESTS OF CAVE Products

We may process your information where it is in our legitimate interests do so as an organisation and without prejudicing your interests or fundamental rights and freedoms.

- a) We may process your information in the day-to-day running of our business, to manage our business and financial affairs and to protect our clients, employees and property. It is in our interests to ensure that our processes and systems operate effectively and that we can continue operating as a business. This may include processing your information to:
  - i monitor, maintain and improve internal business processes, information and data, technology and communications solutions and services;
  - ii ensure business continuity and disaster recovery and responding to information technology and business incidents and emergencies;
  - iii ensure network and information security, including for the purpose of preventing cyber-attacks, unauthorised use of our telecommunications systems and websites, prevention or detection of crime and protection of your personal data;
  - iv perform general accounting and reporting;

- v protect our legal rights and interests;
- vi manage and monitor premises (for example with CCTV) for the purpose of crime prevention and prosecution of offenders, for identifying accidents and incidents and emergency situations and for internal training; and
- vii enable a sale, reorganisation, transfer or other transaction relating to our business.
- b) It is in our interest as a business to ensure that we provide you with the most services and that we continually develop and improve as an organisation. This may require processing your information to enable us to:
  - i identify new business opportunities and to develop enquiries and leads into applications or proposals for new business and to develop our relationship with you;
  - ii send you relevant marketing information which we believe may be of interest to you;
  - iii understand our clients' preferences, expectations and feedback in order to improve our services, develop new services, and to improve the relevance of services;
  - iv monitor the performance and effectiveness of our services and to provide staff training;
  - v perform analysis on any complaints for the purposes of preventing errors and rectifying any negative impacts;